

# A Quantum Inspired Evolutionary Computational Technique with Applications to Structural Engineering Design

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**Abstract**— A new Quantum Inspired Evolutionary Computational Technique (QIECT) is reported in this work. It is applied to a set of standard test bench problems and a few structural engineering design problems. The algorithm is a hybrid of quantum inspired evolution and real coded Genetic evolutionary simulated annealing strategies. It generates initial parents randomly and improves them using quantum rotation gate. Subsequently, Simulated Annealing (SA) is utilized in Genetic Algorithm (GA) for the selection process for child generation. The convergence of the successive generations is continuous and progresses towards the global optimum. Efficiency and effectiveness of the algorithm are demonstrated by solving a few unconstrained Benchmark Test functions, which are well-known numerical optimization problems. The algorithm is applied on engineering optimization problems like spring design, pressure vessel design and gear train design. The results compare favorably with other state of art algorithms, reported in the literature. The application of proposed heuristic technique in mechanical engineering design is a step towards agility in design.

**Keywords**—Constraint Optimization, Mechanical Engineering Design problems, Quantum Inspired Evolutionary Computational Technique, Unconstrained Optimization

## I. INTRODUCTION AND RELATED WORK

Engineering Design is specified as a decision making procedure which leads to the creation of a product that can satisfy particular needs. It involves solving complex objective function with a number of decision variables and number of constraints. In constraint optimization problems main task is to satisfy the constraints in finding the feasible solution. To handle these constraints researchers proposed numerous approaches. One of them is penalty approach as proposed by Deb [1].

The last decade has witnessed remarkable growth in the application of stochastic search techniques for specific well-defined problems from engineering domain. Genetic Algorithm (GA) is one of them, has achieved considerable popularity [2, 3]. Evolutionary algorithms are useful in general function optimization [4, 5]. To find more refine and qualitative solution hybrid methods are adopted and implemented by the researchers [5]. Several researchers have proposed various nature based hybrid methods for solving engineering optimization problems [6, 7, 8, 9, 10]. Hans Raj et al., [11] have proposed a hybrid evolutionary computational technique by combining GA and Simulated Annealing (SA). These nature stimulated evolutionary algorithms succeeded in finding near global optimum for real

life problems. Recently researchers have started to integrate quantum mechanics ideas into evolutionary methods [12, 13, 14, 15, 16].

In the present work, QIECT is developed by integrating quantum concepts such as sampling and rotation gate [15] with genetic algorithm and simulated annealing. In QIECT, real variables are used in order to enhance solution accuracy. Q-bit is expressed as the random real number instead of binary bit. The number of Q-bits is equal to the number of variables in the given problem. Rotation gate is applied to improve the initial population. In Evolutionary Computational part, the population is further improved in order to enhance solution accuracy using crossover, mutation, and selection operators. Simultaneously the Simulated Annealing (SA) technique is applied to overcome the problem of getting stuck in local optima [17, 18]. Thus, QIECT has the strong capability to explore the whole search space without getting trapped in local optimum.

In the present work, QIECT is initially used for solving unconstrained benchmark optimization functions and subsequently constrained engineering design problems. This technique provides more rapid and robust convergence for many standard test bench functions. The results of QIECT are compared with results of other state of art algorithms [18, 19,

20, 21, 22, 23, 24, 25, 26, 27, 28] and found comparable in all aspects. The rest of this paper is organized as follows: The basic concepts are reviewed and methodology is discussed in section II. Simulation results and their comparisons are given in section III. Engineering design applications are given in sub-section with conclusions, at the end.

## II. METHODOLOGY

### A. Quantum Inspired Evolutionary Computational Technique

In QIECT real variables are used in order to enhance solution accuracy. Initial population is generated randomly as shown in equation (1). Then, Quantum gate is applied to generate a good improve population from initial population. Phase angle is generated according to the variables as shown in equation (2). It helps in exploring the search space minutely by increasing the diversity of population. Genetic Algorithm and Simulated Annealing (SA) is applied to acquire the best optimized values from population as results. Genetic Algorithm is used to generate children using blend crossover. Afterwards mutation is applied on every parent and children string. Two levels of competition are introduced among the population strings to ensure that the better strings continue in the population. First level of competition is between children. And second level of competition is between the successful child and his parent. "Acceptance Number" concept is introduced so that the algorithm can devotedly explore "better" regions of the search space. The flowchart of the algorithm is given in Figure 1.

#### 1) Algorithm for Quantum Inspired Evolutionary Computational Technique (QIECT)

**Step1.** Initialization: Initialize maximum number of generations, N (population size), dim (dimension)

**Step2.** Random generation of initial parent population using (1).

$$x_i = x_{i,\min} + (x_{i,\max} - x_{i,\min}) * r_i \quad (1)$$

where  $x$  is variable,  $1 \leq i \leq \text{dim}$   
 $r_i$  is a random number

**Step3.** Application of Quantum gate: Quantum gate is applied to improve initial parent population. Evaluate phase angle using eq. (2)

$$\text{Phase\_angle}_i^t = \arccos \sqrt{\frac{x_i^t - x_{i,\min}}{x_{i,\max} - x_{i,\min}}} \quad (2)$$

Improve population by updating the phase angle according to eq. (3)

$$\left. \begin{array}{l} \text{If } \text{Phase\_angle}_i^t < \text{best\_angle}, \\ \text{then } \text{Phase\_angle}_i^{t+1} = \text{Phase\_angle}_i^t + \Delta\theta \\ \text{If } \text{Phase\_angle}_i^t > \text{best\_angle}, \\ \text{then } \text{Phase\_angle}_i^{t+1} = \text{Phase\_angle}_i^t - \Delta\theta \\ \text{else } \text{Phase\_angle}_i^t = \text{best\_angle}, \\ \text{then } \text{Phase\_angle}_i^{t+1} = \text{Phase\_angle}_i^t \end{array} \right\} \quad (3)$$

Where  $\Delta\theta$  is randomly generated angle.  $1 \leq i \leq \text{dim}$ ,  $t$  is generation.

**Step4.** Step3 is repeated for whole population.

**Step5.** Application of Evolutionary Computational Technique

- Initialization: Initialize T<sub>Init</sub> (Initial Temperature) and T<sub>Final</sub> (Final Temperature), N parent strings (output from quantum gate), C (Total number of children), Compute  $m=C/N$  (Ratio of Children generated per parent), Max\_gen (Maximum number of generation).

$T_{\text{Current}} \leftarrow T_{\text{Init}}$ , where  $T_{\text{Current}}$  is the current temperature.

- For each parent, generate  $m$  children using blend crossover.
- Application of mutation operator: Apply mutation on each parent and children string
- 1st level of competition: Select the best child as the parent for the subsequent generations according to the Boltzmann probability criterion.

$$Y_{\text{Best\_child}} < Y_{\text{Parent}}$$

OR

$$\exp[(Y_{\text{Parent}} - Y_{\text{Best\_child}}) / T_{\text{Current}}] \geq \rho$$

Where

$Y_{\text{Best\_child}}$  is the value of objective function for the best child

$Y_{\text{Parent}}$  is the objective function value of its parents

$\rho$  is a random number generated between 0 and 1.

- e. Set Count=0
- f. Increase Count by 1, if  
 $Y_{child} < Y_{Parent}$   
 OR  
 $\exp[(Y_{Lowest} - Y_{child}) / T_{Current}] \geq \rho$   
 where  
 $Y_{child}$  is the objective function value of the child  
 $Y_{Parent}$  is the objective function value of its parent  
 $Y_{Lowest}$  is the lowest objective function value ever found  
 $T_{Current}$  is the temperature co-efficient  
 $\rho$  is a random number generated between 0 and 1.
- g. Step 'f' is repeated for each child.
- h. Step 'e-f' is repeated for each family.
- i. The children which satisfy the above criteria (Step f) are called the 'accepted children'. Count the 'accepted children' for each and every family separately. Acceptance number of the family is equal to the count represented as "A" as given in figure 1(b).
- j. Sum of the acceptance numbers is calculated, of all the families denoted as "S" as shown in figure 1(b) with example.
- k. For each family, calculate the number of children to be generated in the future generation according to the formula

$$m = (C \times A) / S$$

- l. The temperature is decrease according to cooling schedule given below,

$$\beta = \frac{T_{init} - T_{Final}}{T_{init} * T_{Final} * (\max\_T - 1)}$$

Current temperature reduces as given below

$$\frac{T_{Current}}{(1 + \beta * T_{Current})},$$

- m. Increase generation by 1

**Step6.** Step (a to m) is repeated until a maximum number of generation has been reached / no further improvement is observed.

### III. RESULTS AND DISCUSSION

#### A. Performance of QIECT on Benchmark Functions

The Mathematical Benchmark test functions used in this study are specified in Table 1. Detailed description can be found in [29]. In the present work, empirical experiments are carried out for each function. For all the functions and for engineering applications population size is considered as 100. Offspring size is considered as 1000.

To build performance analysis of QIECT a chain of experiments are carried out. QIECT is run 30 times for each problem to statistically evaluate its performance on benchmark problems. Output graphs of QIECT for benchmark functions are shown in Figure 2. In these graphs, best fitness values are plotted for each function. Results of statistical parameters and Average computation time for all the functions evaluated by QIECT are given in Table 2.

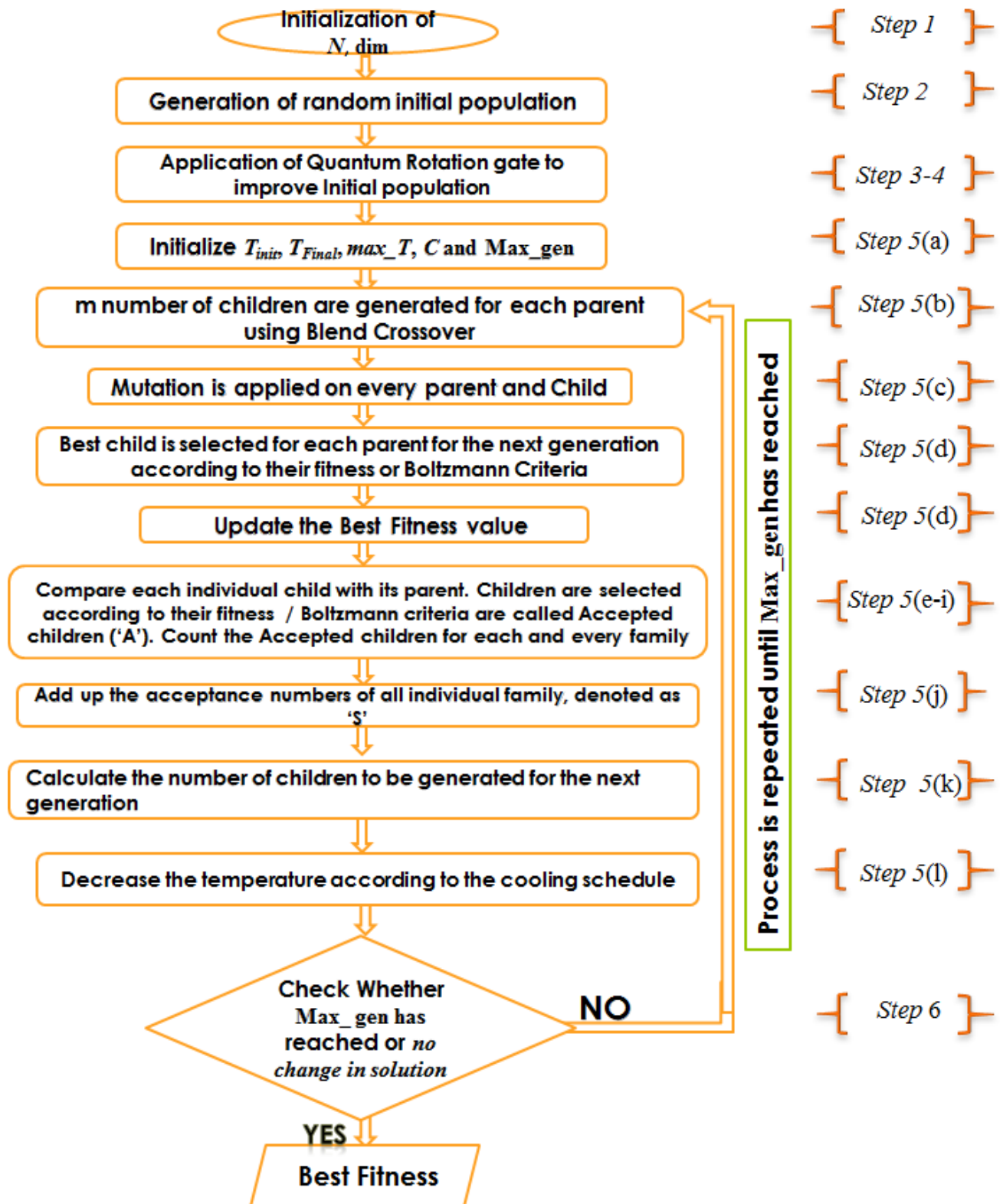


Figure 1 Flowchart of QIECT

**Table 1:** Benchmark Functions used in section 3

Name	Formula	Dimensions	Range
<b>F1:</b> Sphere	$f(x) = \sum_{i=1}^n x_i^2$	10	$x_i \in [-5.12, 5.12]$
<b>F2:</b> Rastrigin	$f(x) = -10n + \sum_{i=1}^n [x_i^2 - 10 \cos(2\pi x_i)]$	10	$x_i \in [-5.12, 5.12]$
<b>F3:</b> Griewank	$f(x) = \frac{1}{4000} \sum_{i=1}^n x_i^2 - \prod_{i=1}^n \cos(\frac{x_i}{\sqrt{i}}) + 1$	10	$x_i \in [-600, 600]$
<b>F4:</b> Ackley	$f(x) = -a \exp(-b \sqrt{\frac{1}{n} \sum_{i=1}^n x_i^2}) - \exp(\frac{1}{n} \sum_{i=1}^n \cos(cx_i)) + a + \exp(1)$	10	$x_i \in [-32.768, 32.768]$
<b>F5:</b> Rozenbrock	$f(x) = \sum_{i=1}^{n-1} [100(x_{i+1} - x_i^2)^2 + (1 - x_i)^2]$	10	$x_i \in [-2.048, 2.048]$
<b>F6:</b> Six Hump Camel Back	$f(x_1, x_2) = (4 - 2.1x_1^2 + \frac{x_1^4}{3})x_1^2 + x_1x_2 + (-4 + 4x_2^2)x_2^2$	2	$x_1 \in [-3, 3]$ $x_2 \in [-2, 2]$
<b>F7:</b> Schwefel 1-2	$f(x) = \sum_{i=1}^n \left( \sum_{j=1}^i x_j \right)^2$	10	$x_i \in [-100, 100]$
<b>F8:</b> Goldstein Price	$f(x_1, x_2) = [1 + (x_1 + x_2 + 1)^2(19 - 14x_1 + 3x_1^2 - 14x_2 + 6x_1x_2 + 3x_2^2)] \cdot [30 + (2x_1 - 3x_2)^2(18 - 32x_1 + 12x_1^2 + 48x_2 - 36x_1x_2 + 27x_2^2)]$	2	$x_i \in [-2, 2]$
<b>F9:</b> Easom	$f(x_1, x_2) = -\cos(x_1)\cos(x_2)\exp(-(x_1 - \pi)^2 - (x_2 - \pi)^2)$	2	$x_1 \in [-100, 100]$ $x_2 \in [-100, 100]$
<b>F10:</b> Weighted Sphere	$f(x) = \sum_{i=1}^n ix_i^2$	10	$x_i \in [-5.12, 5.12]$

**Table 2.** Statistical Performance of QIECT on benchmark functions

FUNCTIONS	OBJECTIVE FUNCTION VALUES					AVERAGE TIME (SECOND)
	BEST	AVERAGE	WORST	STANDARD DEVIATION	MEDIAN	
<b>F1</b>	0	0	0	0	0	62.72
<b>F2</b>	0	1.4484E-03	4.4900E-02	0.008064	0	45.56
<b>F3</b>	0	0	0	0	0	40.72
<b>F4</b>	8.8818E-16	3.0507E-15	1.5099E-14	4.99441E-15	8.8818E-16	513.06
<b>F5</b>	9.9396E-29	3.5716E-22	4.1993E-21	9.74143E-22	3.2283E-26	20034.06
<b>F6</b>	-1.0316	-1.0316	-1.03162	0	-1.03162	16.10
<b>F7</b>	0	0	0	0	0	51.082
<b>F8</b>	3	3	3	0	3	2.43
<b>F9</b>	-1	-1	-1	0	-1	29.44
<b>F10</b>	0	0	0	0	0	49.99

To prove the efficiency of current algorithm, it is compared with reported results of various other reputed algorithms. All the results which are taken for comparison are of the same dimensions as mentioned in Table 1.

Table 3 compares the optimal values of benchmark functions evaluated by QIECT with other algorithms. All the experimental results of QIECT in terms of mean fitness and standard deviation values are summarized in Table 4. It can be noticed that performance outcome of QIECT is favorable in comparison to other state of art algorithms [18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28].

**Table 3** Best optimal values of benchmark functions, as evaluated by QIECT with other algorithms (NA means not available)

Functions	Exact Values	QIECT	SASP [18]	Hybrid ICA-PSO [19]	BSA [20]
<b>F1</b>	<b>0</b>	<b>0</b>	NA	1.43E-15	NA
<b>F2</b>	<b>0</b>	<b>0</b>	2.13E-14	1.24E-12	NA
<b>F3</b>	<b>0</b>	<b>0</b>	0	NA	NA
<b>F4</b>	<b>0</b>	<b>8.8818E-16</b>	NA	NA	NA
<b>F5</b>	<b>0</b>	<b>9.94E-29</b>	NA	6.74E-4	NA
<b>F6</b>	<b>-1.0316</b>	<b>-1.0316</b>	-1.0316	NA	NA
<b>F7</b>	0	0	NA	NA	NA
<b>F8</b>	<b>3</b>	<b>3</b>	NA	NA	3
<b>F9</b>	<b>-1</b>	<b>-1</b>	NA	NA	-1
<b>F10</b>	<b>0</b>	<b>0</b>	NA	NA	NA

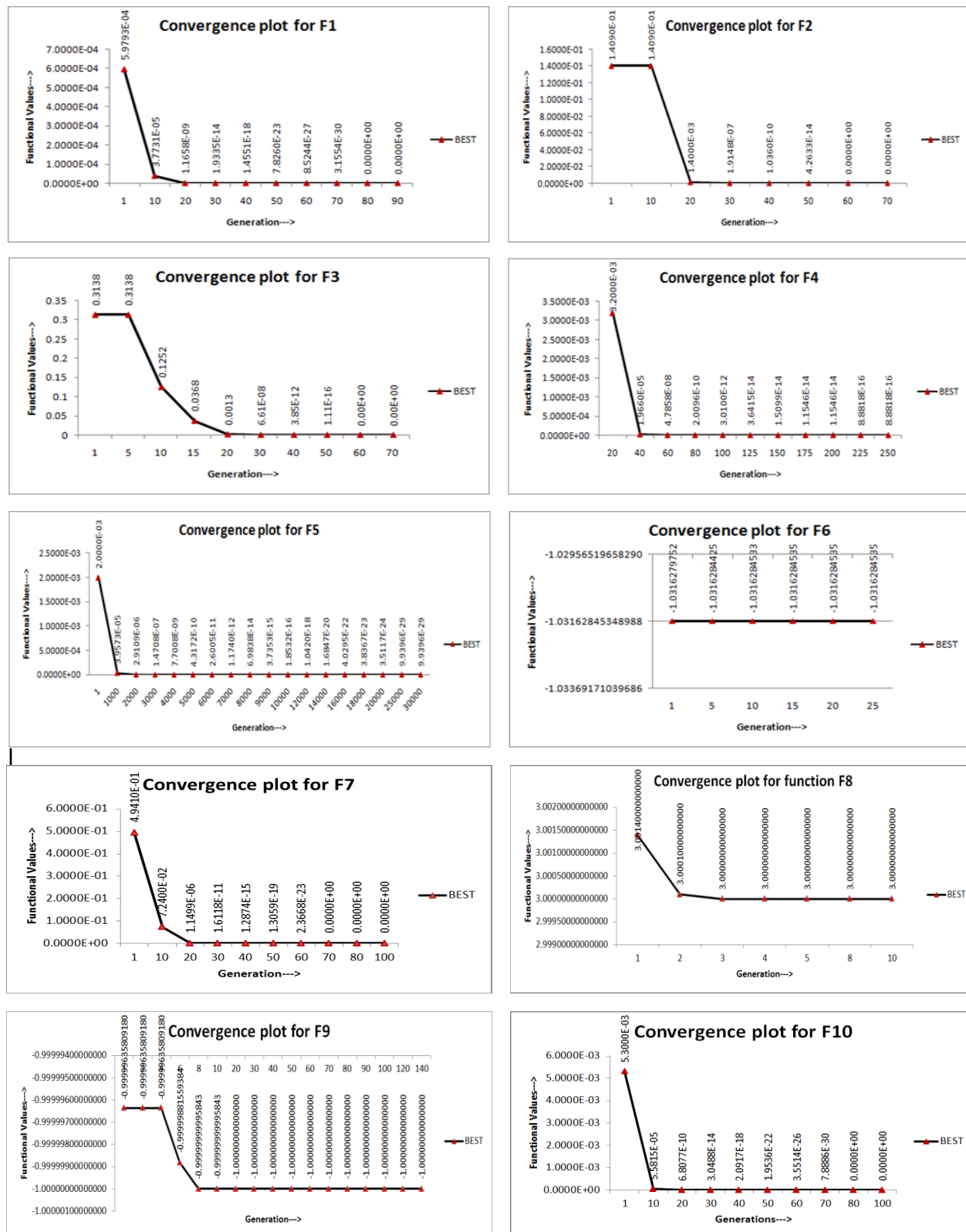


Figure. 2: Convergence plot of optimal values obtained by QIET for benchmark functions

**Table 4** Statistical performances of benchmark functions, as evaluated by QIECT with other state-of-art algorithms ('-' means data is not available)

For F1		
METHODS	MEAN	STD. DEV.
Hybrid ICA-PSO [19]	3.07E-12	4.34E-12
APSO [22]	0	0
WQPSO [24]	4.71E-106	4.76E-108
KHLD [26]	3.07E-06	2.17E-06
HS [27]	7.69E-05	2.64E-05
QIECT	<b>0</b>	<b>0</b>

For F2		
METHODS	MEAN	STD. DEV.
Hybrid ICA-PSO [19]	6.40E-08	1.51E-07
MPSO [21]	1.8407	-
APSO [22]	0.8755	0.8734
IPSO [23]	0.8001	-
WQPSO [24]	1.8857	0.0118
HS [27]	1.38E-02	4.93E-03
QIECT	<b>1.45E-03</b>	<b>0.0081</b>

For F3		
METHODS	MEAN	STD. DEV.
MPSO [21]	0.0504	-
IPSO [23]	0.0507	-
WQPSO [24]	1.53E-04	3.37E-04
KHLD [26]	1.00E-06	1.22E-06
HS [27]	4.74E-02	5.99E-02
QIECT	<b>0</b>	<b>0</b>

For F4		
METHODS	MEAN	STD. DEV.
APSO [22]	0.0064	7.48E-4
C-Catfish PSO [25]	8.88E-16	-
KHLD [26]	0.0013	3.83E-04
HS [27]	1.12E-02	2.17E-03
IGAL-ABC [28]	4.44E-15	0
QIECT	<b>3.05E-15</b>	<b>1.30E-15</b>

For F5		
METHODS	MEAN	STD. DEV.
Hybrid ICA-PSO [19]	1.75	2.1894
MPSO [21]	1.0194	-
APSO [22]	2.3878	1.1055
WQPSO [24]	10.1650	0.2345
C-Catfish PSO [25]	1.2780	0.6500
KHLD [26]	2.12E-04	2.01E-04
HS [27]	4.18E-02	4.87E-02
QIECT	<b>3.57E-22</b>	<b>9.74E-22</b>

For F6		
METHODS	MEAN	STD. DEV.
Hybrid ICA-PSO [19]	-1.0316	0
KHLD [26]	2.99E-05	3.65E-05
HS [27]	-1.03	0

QIECT	<b>-1.0316</b>	<b>0</b>
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For F7		
METHODS	MEAN	STD. DEV.
MPSO [21]	0	-
QIECT	<b>0</b>	<b>0.1109</b>

For F8		
METHODS	MEAN	STD. DEV.
BSA [20]	2.9999	1.10E-15
KHLD [26]	3.006	0.0041
QIECT	<b>3</b>	<b>0</b>

For F9		
METHODS	MEAN	STD. DEV.
KHLD [26]	-1	6.92E-09
QIECT	<b>-1</b>	<b>0</b>

For F10		
METHODS	MEAN	STD. DEV.
KHLD [26]	1.35E-05	7.31E-05
QIECT	<b>0</b>	<b>0</b>

## B. Performance of QIECT on Structural Engineering Optimization Problems

### 1) Spring Design

It is one of the well-researched mechanical design problem. The minimization of the weight of a spring under tension/compression is considered subject to constraints of minimum deflection, shear stress, surge frequency, and limits on the outside diameter and design variables. The design variables  $x_1$ ,  $x_2$ , and  $x_3$  are the wire diameter (d), the mean coil diameter (D), and the number of active coils (P) as illustrated in Figure 3. This problem is described in [30]. Engineering design problems has been solved by several engineers using numerous algorithms [2, 3, 6, 7, 9, 10, 11, 14, 30, 31 32, 33, 34, 35, 36, 37, 38].

$$\text{Minimize } f(x) = (x_3 + 2)x_2x_1^2$$

$$s.t. \quad g_1(x) = 1 - \frac{x_2^3x_3}{71785x_1^4} \leq 0$$

$$g_2(x) = \frac{4x_2^2 - x_1x_2}{12566(x_2x_1^3 - x_1^4)} + \frac{1}{5108x_1^2} - 1 \leq 0$$

$$g_3(x) = 1 - \frac{140.45x_1}{x_1^2x_3} \leq 0$$

$$g_4(x) = \frac{x_2 + x_1}{1.5} - 1 \leq 0$$

$$0.05 \leq x_1 \leq 2; \quad 0.25 \leq x_2 \leq 1.3; \quad 2 \leq x_3 \leq 15$$



Figure 3 Tension / Compression spring design problem

In solving this problem

$$\text{Penalty} = \sum_{i=1}^4 g_i \times 10^4$$

is used, where  $g_i$  is the  $i$ th constraints deviation from limits. The algorithm has also successfully obtained optimal value

$f(x)=0.0126652$ , Corresponding variables  $[x_1, x_2, x_3]=[0.35670, 0.05169, 11.28999]$ . Constraints are  $[g_1, g_2, g_3, g_4]=[-1.26\text{E-}08, -2.20\text{E-}09, -6.43582, -6.09\text{E-}03]$ . Convergence plot is depicted in Figure 4.

Values of statistical parameters as evaluated by QIECT and other methods are reported in Table 5. It shows that the proposed algorithm gives comparable results for spring design problem. The Table 6 shows the variables and constraints obtained for the spring design problem using QIECT and others reported in literature. It can be clearly observed from both the tables that the results obtained from QIECT algorithm are more accurate and consistent as compared to the other methods reported in literature.

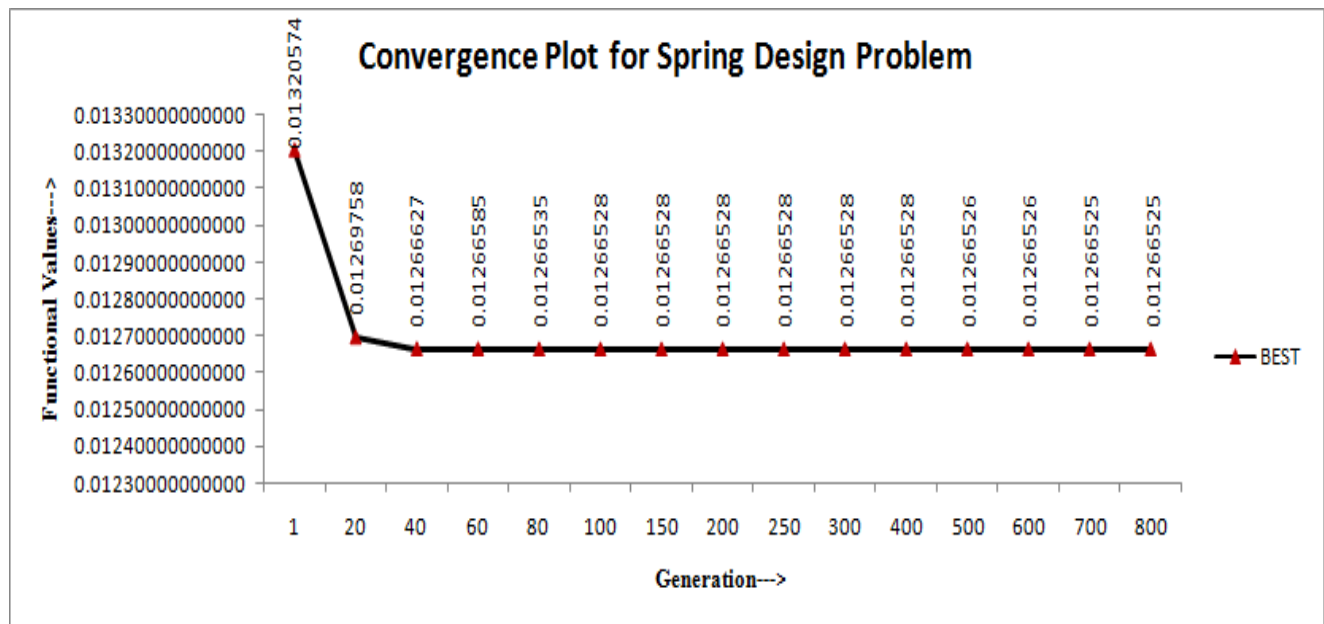


Figure 4. Convergence plot for Spring Design Problem

## 2) Pressure Vessel problem

A compressed air storage tank with a working pressure of 2000psi and a maximum volume of 750ft<sup>3</sup> is designed. A cylindrical vessel is shown in Figure 5. The shell is made in two halves that are joined by two longitudinal welds to form a cylinder. The objective is to minimize the total cost, including the cost of material, forming and welding [7]. There are four design variable associated with it namely as thickness of the pressure vessel,  $T_s = x_1$ , thickness of the head,  $T_h = x_2$ , inner radius of the vessel,  $R = x_3$ , and length of the vessel without heads,  $L = x_4$ .

In solving this problem,

$$\text{Penalty} = \sum_{i=1}^4 g_i$$

is used, where  $g_i$  is the  $i$ th constraints deviation from limits.

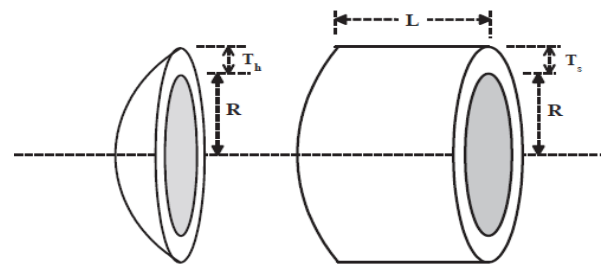


Figure 5 Design of Pressure Vessel Problem